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ATTORNEY'S DOCKET NUMBER  
108107

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)**09/787218**INTERNATIONAL APPLICATION NO.  
PCT/JP00/04639INTERNATIONAL FILING DATE  
July 12, 2000PRIORITY DATE CLAIMED  
July 15, 1999TITLE OF INVENTION  
DATA TRANSFER CONTROL DEVICE AND ELECTRONIC EQUIPMENTAPPLICANTS FOR DO/EO/US  
Takuya ISHIDA and Yoshiyuki KAMIHARA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ Entitlement to small entity status is hereby asserted.
16. ☐ Other items or information:

U.S. APPLICATION NO. (if known) <b>097787218</b> C.F.R. 1.53		INTERNATIONAL APPLICATION NO. PCT/JP00/04639		ATTORNEY'S DOCKET NUMBER 108107	
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<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p style="margin-left: 20px;"><b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b></p> <p style="margin-left: 20px;">Search Report has been prepared by the EPO or JPO ....\$860.00</p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO (37 CFR 1.482) .....\$690.00</p> <p style="margin-left: 20px;">No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....\$710.00</p> <p style="margin-left: 20px;">Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,000.00</p> <p style="margin-left: 20px;">International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....\$ 100.00</p> <p style="text-align: right; margin-right: 20px;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width:100%; border-collapse: collapse; margin-top: 5px;"> <tr> <th style="width:20%;">Claims</th> <th style="width:20%;">Number Filed</th> <th style="width:10%;">Number Extra</th> <th style="width:10%;">Rate</th> <th style="width:10%;"></th> <th style="width:10%;"></th> </tr> <tr> <td>Total Claims</td> <td>22 - 20 =</td> <td>2</td> <td>X \$ 18.00</td> <td>\$36.00</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>3 - 3 =</td> <td>0</td> <td>X \$ 80.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="3">Multiple dependent claim(s)(if applicable)</td> <td>+ \$270.00</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td>\$896.00</td> <td></td> </tr> <tr> <td colspan="4">Reduction by 1/2 for filing by small entity, if applicable.</td> <td>-</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td> <td>\$896.00</td> <td></td> </tr> <tr> <td colspan="4">Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).</td> <td>+</td> <td>\$</td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL NATIONAL FEE =</b></td> <td>\$896.00</td> <td></td> </tr> </table>	Claims	Number Filed	Number Extra	Rate			Total Claims	22 - 20 =	2	X \$ 18.00	\$36.00		Independent Claims	3 - 3 =	0	X \$ 80.00	\$		Multiple dependent claim(s)(if applicable)			+ \$270.00	\$		<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$896.00		Reduction by 1/2 for filing by small entity, if applicable.				-	\$	<b>SUBTOTAL =</b>				\$896.00		Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$	<b>TOTAL NATIONAL FEE =</b>				\$896.00		<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width:50%;">CALCULATIONS</th> <th style="width:50%;">PTO USE ONLY</th> </tr> <tr> <td colspan="2" style="height: 150px;"></td> </tr> </table>		CALCULATIONS	PTO USE ONLY		
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<p>a. <input checked="" type="checkbox"/> Check No. <u>117171</u> in the amount of <u>\$896.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u>. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p><b>SEND ALL CORRESPONDENCE TO:</b>          OLIFF &amp; BERRIDGE, PLC          P.O. Box 19928          Alexandria, Virginia 22320</p>	<div style="text-align: center;">               NAME: James A. Oliff              REGISTRATION NUMBER: 27,075         </div> <div style="text-align: center; margin-top: 20px;">               NAME: Joel S. Armstrong              REGISTRATION NUMBER: 36,430         </div>
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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Takuya ISHIDA and Yoshiyuki KAMIHARA

Application No.: New US National Stage of PCT/JP00/04639

Filed: March 15, 2001

Docket No.: 108107

For: DATA TRANSFER CONTROL DEVICE AND ELECTRONIC EQUIPMENT

PRELIMINARY AMENDMENT

Director of the U.S. Patent and Trademark Office  
Washington, D. C. 20231

Sir:

Prior to initial examination, please amend the above-identified application as follows:

17. (Amended) Electronic equipment comprising:  
the data transfer control device as defined in claim 1;  
a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and  
a device which outputs or stores data that has been subjected to processing.
18. (Amended) Electronic equipment comprising:  
a data transfer control device as defined in claim 5;  
a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and  
a device which outputs or stores data that has been subjected to processing.
19. (Amended) Electronic equipment comprising:  
the data transfer control device as defined in claim 9;  
a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and  
a device which outputs or stores data that has been subjected to processing.
20. (Amended) Electronic equipment comprising:  
a data transfer control device as defined in claim 1;  
a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

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a device which takes in data to be subjected to processing.

21. (Amended) Electronic equipment comprising:

a data transfer control device as defined in claim 5;

a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to processing.

22. (Amended) Electronic equipment comprising:

a data transfer control device as defined in claim 9;

a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to processing.

#### REMARKS

Claims 1-22 are pending. Claims 17-22 are amended to eliminate multiple dependencies. Prompt and favorable consideration on the merits is respectfully requested.

The attached Appendix includes marked-up copies of each rewritten claim (37 C.F.R. 1.121(c)(ii)).

Respectfully submitted,



James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/zmc  
Attached: APPENDIX  
Date: March 15, 2001

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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## APPENDIX

## Changes to Claims:

The following are marked-up versions of the amended claims:

17. (Amended) Electronic equipment comprising:

the data transfer control device as defined in claim 1 ~~any of claims 1 to 4, or 14;~~

a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and

a device which outputs or stores data that has been subjected to processing.

18. (Amended) Electronic equipment comprising:

a data transfer control device as defined in claim 5 ~~any of claims 5 to 8, or 15;~~

a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and

a device which outputs or stores data that has been subjected to processing.

19. (Amended) Electronic equipment comprising:

the data transfer control device as defined in claim 9 ~~any of claims 9 to 13, or 16;~~

a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and

a device which outputs or stores data that has been subjected to processing.

20. (Amended) Electronic equipment comprising:

a data transfer control device as defined in claim 1 ~~any of claims 1 to 4, or 14;~~

a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to processing.

21. (Amended) Electronic equipment comprising:

a data transfer control device as defined in claim 5~~any of claims 5 to 8, or 15;~~

a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to processing.

22. (Amended) Electronic equipment comprising:

a data transfer control device as defined in claim 9~~any of claims 9 to 13, or 16;~~

a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to processing.

[illegible]

25/PRTS

09/787218  
JC02 Rec'd PCT/PTO 15 MAR 2001

## DATA TRANSFER CONTROL DEVICE AND ELECTRONIC EQUIPMENT

### TECHNICAL FIELD

The present invention relates to a data transfer control  
5 device and electronic equipment, and, in particular, to a data  
transfer control device and electronic equipment for performing  
data transfer that is based on a standard such as IEEE 1394  
between a plurality of nodes that are connected to a bus.

### 10 BACKGROUND ART

An interface standard called IEEE 1394 has recently been  
attracting much attention. This IEEE 1394 lays down standards  
for high-speed serial bus interfaces that can handle the next  
generation of multimedia devices. IEEE 1394 makes it possible  
15 to handle data that is required to have real-time capabilities,  
such as moving images. A bus in accordance with IEEE 1394 can  
be connected not only to peripheral equipment for computers,  
such as printers, scanners, CD-RW drives, and hard disk drives,  
but also to domestic appliances such as video cameras, VTRs,  
20 and TVs. This standard is therefore expected to enable a  
dramatic acceleration of the digitalization of electronic  
equipment.

However, it has become clear that there are some technical  
problems that can occur in a data transfer control device in  
25 accordance with IEEE 1394, as described below.

That is to say, the current IEEE 1394 standard does make  
it possible to implement transfer speeds up to a maximum of 400

Mbps. In practice, however, the presence of processing overheads forces the actual transfer speeds of the entire system to be much slower. In other words, the firmware and application software running on a CPU require large amounts of time for processes such as preparing to receive data and fetching the receive data, which means it is not possible to implement high-speed data transfer overall, no matter how fast the data can be transferred over the buses.

A particular problem lies in the fact that a CPU incorporated into peripheral equipment has a lower processing capability than the CPU incorporated into the host system, such as a personal computer. This makes the problem of processing overheads in the firmware and application software extremely serious. It is therefore desirable to provide techniques that are capable of efficiently solving this overhead problem.

#### DISCLOSURE OF THE INVENTION

The present invention was devised in the light of the above described problems and has as an objective thereof the provision of a data transfer control device that can reduce the processing overheads in firmware or the like and implement high-speed data transfer within compact hardware, and electronic equipment using the same.

In order to solve the above described problems, one aspect of the present invention relates to a data transfer control device for transferring data between a plurality of nodes connected to a bus, the data transfer control device comprising:



link means which provides services for packet transfer between nodes; write means which writes a packet that has been received through the link means to a randomly accessible packet storage means; and packet division means which writes control  
5 information of the packet to a control information area of the packet storage means, writes first data of the packet for a first layer (such as a transaction layer) to a first data area of the packet storage means, and writes second data of the packet for a second layer (such as an application layer) that is a layer  
10 above the first layer to a second data area of the packet storage means.

This aspect of the present invention makes it possible to write the control information of a packet (such as its header or footer) to a control information area, first data of the  
15 packet (such as data for the transaction layer) to a first data area, and second data of the packet (such as data for the application layer) to a second data area. This configuration makes it possible to read the second data sequentially from the second data area for transfer to the second layer. This enables  
20 a dramatic increase in the data transfer speed.

Note that the first data may be command data used by the protocol of the first layer and the second data may be data used by an application layer.

The data transfer control device of the present invention  
25 may further comprise area management means which makes a full signal go active when the second data area is full, to inhibit the write means from writing the second data to the second data

area, and makes an empty signal go active when the second data area is empty, to inhibit the second layer from reading the second data from the second data area. This configuration makes it possible to automate and speed up the data transfer, such  
5 that the writing of the second data to the second data area and the reading of the second data from the second data can be controlled by simply providing management by the area management means.

When a request packet which is used for starting a  
10 transaction is transmitted to a responding node, transaction identification information comprised within the request packet may include indication information which indicates processing to be performed when a response packet from the responding node is received; and the control information and the first and  
15 second data of the response packet may be written into an area specified by the indication information within the transaction identification information of the response packet, when the response packet from the responding node is received. This configuration makes it possible to automatically write the  
20 control information, first data, and second data of a response packet to the areas specified by the indication information, without the intervention of the firmware, when the response packet comes in from the responding node. The processing load on the firmware or the like can therefore be dramatically  
25 reduced.

Another aspect of the present invention relates to a data transfer control device for transferring data between a

plurality of nodes connected to a bus, the data transfer control device comprising: means which makes transaction identification information within a request packet include indication information which indicates processing to be performed after reception of a response packet from a responding node, when the request packet which is used for starting a transaction is transmitted to the responding node; and means which performs the processing indicated by the indication information comprised within the transaction identification information of the response packet, when the response packet from the responding node is received.

This aspect of the present invention enables processing in accordance with the indication information comprised within the transaction identification information (for example, transaction label), when a response packet is returned from the responding node. It is therefore possible to automate the processing that is performed when a response packet is returned, which enables a reduction in the processing load on the firmware or the like and also tends to increase the data transfer speed.

Control information and data of the response packet may be written into an area specified by the indication information within the transaction identification information of the response packet, when the response packet from the responding node is received. Note that the processing that is performed when the response packet is returned is not limited to writing to the thus-specified areas.

A given bit of the transaction identification information

may be previously reserved as a bit for expressing the indication information. This makes it possible to perform processing for inserting indication information into the transaction identification information of the request packet and processing for identifying the indication information, based on the transaction identification information of the response packet, in a simple manner with a low load on the system.

Note that the transaction identification information may be a transaction label in accordance with the IEEE 1394 standard.

A further aspect of the present invention relates to a data transfer control device for transferring data between a plurality of nodes connected to a bus, the data transfer control device comprising: link means which provides services for packet transfer between nodes; randomly accessible packet storage means which stores a packet; write means which writes a packet that has been received from another node through the link means, to the packet storage means; and means which reads the packet that has been written to the packet storage means and transfers the packet to the link means; wherein the packet storage means is divided into a control information area in which is stored packet control information and a data area in which is stored packet data, and the data area is divided into a first data area for storing first data for a first layer and a second data area for storing second data for a second layer.

Since the packet storage means is divided into a control information area, a first data area, and a second data area in

accordance with this aspect of the present invention, it is possible to read the second data sequentially from the second data area or write second data sequentially to the second data area. This enables a dramatic increase in the data transfer speed.

The data transfer control device of the present invention may further comprise: a first address storage means which stores a transmission area start address for reserving a transmission area in the second data area; a second address storage means which stores a transmission area end address for reserving a transmission area in the second data area; a third address storage means which stores a reception area start address for reserving a reception area in the second data area; and a fourth address storage means which stores a reception area end address for reserving a reception area in the second data area. This makes it possible to use the second data area in a manner that suits the characteristics of a device in the second layer (such as the application layer): as a dedicated transmission area, a dedicated reception area, or an area used in common for both transmission and reception.

The transmission area start address and the reception area start address may be set to the start address of the second data area, and transmission area end address and the reception area end address may be set to the end address of the second data area. This makes it possible to use the second data area as an area used in common for both transmission and reception. It is therefore possible to provide the optimal data transfer

control device for a second-layer device that transfers data in both directions from another node to the self node and from the self node to another node. Moreover, the storage capacity of the second data area can be utilized to the maximum limit, both during transmission and during reception, making it possible to store a large amount of data in the second data area.

Both the transmission area start address and the transmission area end address may be set to either the start address or the end address of the second data area, the reception area start address may be set to the start address of the second data area, and the reception area end address may be set to the end address of the second data area. This configuration makes it possible to use the second data area as a dedicated reception area. It is therefore possible to provide the optimal data transfer control device for a second-layer device in which no large amounts of data flow in the direction from another node to the self node.

Both the reception area start address and the reception area end address may be set to either the start address or the end address of the second data area, the transmission area start address may be set to the start address of the second data area, and the transmission area end address may be set to the end address of the second data area. This configuration makes it possible to use the second data area as a dedicated transmission area. It is therefore possible to provide the optimal data transfer control device for a second-layer device in which no large amounts of data flow in the direction from the self node

to another node.

In the present invention, data transfer may be in accordance with the IEEE 1394 standard.

According to a still further aspect of the present invention, there is provided electronic equipment comprising:  
5 any one of the above-described data transfer control device;  
a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and a device which outputs or stores data that  
10 has been subjected to processing. Alternatively, According to yet further aspect of the present invention, there is provided electronic equipment comprising: any one of above-described data transfer control device; a device which performs given processing on data that is to be transferred to another node  
15 through the data transfer control device and a bus; and a device which takes in data to be subjected to processing.

These aspects of the present invention make it possible to increase the speeds of processing within the electronic equipment for outputting or storing data that has been  
20 transferred from another node, or of processing within the electronic equipment for transferring fetched data to another node. Since these aspects of the present invention also make it possible to reduce the size of the data transfer control device and also reduce the processing load on the firmware or  
25 the like, electronic equipment can be made less expensive and more compact.

## BRIEF DESCRIPTION OF DRAWINGS

Fig. 1 shows the layer structure of IEEE 1394.

Figs. 2A and 2B illustrate the various services provided by the transaction layer and the link layer.

5 Fig. 3 illustrates the SBP-2.

Fig. 4 shows an example of the configuration of a data transfer control device in accordance with an embodiment of this invention.

10 Fig. 5 illustrates a technique of separating (dividing) within a RAM (packet storage means).

Fig. 6 shows the configuration of a comparative example.

Fig. 7 illustrates the method of data transfer implemented by the configuration of Fig. 6.

15 Fig. 8 illustrates the method of data transfer of this embodiment of the present invention.

Fig. 9 illustrates a method of not dividing the data areas into ORB areas and stream areas.

Fig. 10 is illustrative of a method of dividing the data areas into ORB areas and stream areas.

20 Fig. 11 shows the state of stream transfer between another node and an application-layer device.

Fig. 12 illustrates a method of managing a stream area by using an empty signal and a full signal.

Figs. 13A and 13B illustrate the transaction label.

25 Fig. 14 illustrates a method of writing a header and data of a packet to different areas in RAM, using the transaction label.



Fig. 15 illustrates details of the writing of the header and data of packets to areas in RAM, using transaction labels.

Fig. 16 illustrates a method of providing register TSR, TER, RSR, and RER for holding addresses TS and TE for reserving  
5 a transmission stream area and addresses RS and RE for reserving a reception stream area.

Figs. 17A, 17B, 17C, and 17D illustrate various modes in which areas are reserved.

Fig. 18 illustrates an area-reserving method in  
10 accordance with a comparative example.

Fig. 19 shows details of the configuration of the reception side.

Fig. 20A shows the format of a packet having block data in asynchronous transfer in accordance with the IEEE 1394  
15 standard and Fig. 20B shows the format of the header portion of a packet stored in RAM and having block data in asynchronous reception.

Fig. 21 illustrates tags.

Fig. 22 shows details of the configuration of the DMACs,  
20 registers, and the RAM area management circuit.

Fig. 23 illustrates the various pointer registers.

Figs. 24A, 24B, and 24C show examples of the internal block diagrams of various items of electronic equipment.

Figs. 25A, 25B, and 25C show external views of various  
25 items of electronic equipment.

BEST MODE FOR CARRYING OUT THE INVENTION

Embodiments of this invention are described below with reference to the accompanying drawings.

## 1. IEEE 1394

5 The description first relates to an outline of IEEE 1394.

### 1.1 Outline

The IEEE 1394 standard (IEEE 1394-1995, P1394.a) enables high-speed data transfer at 100 to 400 Mbps (P1394.b concerns 800 to 3,200 Mbps). It also permits the connection of nodes of  
10 different transfer speeds to the same bus.

The nodes are connected in a tree configuration in which a maximum of 63 nodes can be connected to one bus. Note that the use of bus bridges enables the connection of approximately 64,000 nodes.

15 IEEE 1394 provides for asynchronous transfer and isochronous transfer as packet transfer methods. In this case, asynchronous transfer is suitable for data transfers where reliability is required and isochronous transfer is suitable for transfers of data such as moving images and audio, where  
20 real-time capabilities are required.

### 1.2 Layer Structure

The layer structure (protocol structure) covered by IEEE 1394 is shown in Fig. 1.

25 The IEEE 1394 protocol is configured of a transaction layer, a link layer, and a physical layer. A serial bus management function monitors and controls the transaction layer,

link, and physical layer, and provides various functions for controlling nodes and managing bus resources.

The transaction layer provides an interface (service) for transaction units within upper layers and a link layer for lower layers, and executes transactions such as read transactions, write transactions, and lock transactions.

In this case, a read transaction causes data to be transmitted from the responding node to the node that requested the data. Similarly, a write transaction causes data to be transmitted from the requesting node to the responding node. A lock transaction causes data to be transmitted from the requesting node to the responding node, and the responding node then processes that data and returns it to the requesting node.

The services provided by the transaction layer are configured of four services (request, indication, response, and confirmation), as shown in Fig. 2A.

In this case, a transaction request is a service that causes the requesting side to start a transaction, and a transaction indication is a service that informs the responding side that a request has been reported. A transaction response is a service that returns the state or data of the responding side to the requesting side, and a transaction confirmation is a service that informs the requesting side that a response has arrived from the responding side.

The link layer provides functions such as addressing, data check, data framing for packet transmission/reception, and cycle control for isochronous transfer.

The services provided by the link layer are configured of four services (request, indication, response, and confirmation), as shown in Fig. 2B.

In this case, a link request is a service that transfers a packet to the responding side and a link indication is a service that receives a packet from the responding side. A link response is a service that transfers an acknowledgment from the responding side and a link confirmation is a service that receives an acknowledgment from the requesting side.

The physical layer converts the logical symbols used by the link layer into electrical signals, performs bus arbitration, and defines the physical bus interface.

The physical layer and link layer are usually implemented by hardware such as a data transfer control device (interface chip). The transaction layer is implemented either by firmware (processing means) operating on the CPU, or hardware.

Note that a protocol called the serial bus protocol 2 (SBP-2) has been proposed as a higher-order protocol that comprises some of the functions of the transaction layer under IEEE 1394, as shown in Fig. 3.

In this case, SBP-2 is proposed in order to enable utilization of the SCSI command set on top of the IEEE 1394 protocol. Use of this SBP-2 minimizes the changes to be made to the SCSI command set that is used in electronic equipment that conforms to the existing SCSI standards, and also enables their use in electronic equipment that conforms to the IEEE 1394 standard. The design and development of electronic equipment

can be simplified thereby. Since it is also possible to encapsulate device-specific commands, not just SCSI commands, this greatly increases the universality of the command set.

With SBP-2, log-in processing is done by first using an operation request block (ORB) for initializing a log-in or fetch agent, which is created by an initiator (such as a personal computer). The initiator then creates an ORB (command block ORB) comprising a command (such as a read command and write command), then informs the target of the address of the thus created ORB. The target acquires the ORB created by the initiator by fetching from that address. If the command within the ORB was a read command, the target executes a block write transaction to transmit data from the target to the data buffer (memory) of the initiator. If the command within the ORB was a write command, on the other hand, the target executes a block read transaction to receive data from the data buffer of the initiator.

With this SBP-2, the target can execute a transaction to send or receive data when its own circumstances allow. Since it is therefore not necessary for the initiator and the target to operate in synchronism, the efficiency of data transfer can be increased.

Note that protocols other than SBP-2 are also being proposed as protocols of a higher order than IEEE 1394, such as the function control protocol (FCP).

## 2. Overall Configuration

The overall configuration of the data transfer control

device in accordance with this embodiment of the invention is described below, with reference to Fig. 4.

In Fig. 4, a PHY interface 10 is a circuit that provides an interface with a PHY device (a physical-layer device).

5 A link core 20 (link means) is a circuit implemented in hardware that provides part of the link layer protocol and the transaction layer protocol; it provides various services relating to packet transfer between nodes. A register 22 is provided for controlling the link core 20 that implements these  
10 protocols.

A FIFO (ATF) 30, FIFO (ITF) 32, and FIFO (RF) 34 are FIFOs for asynchronous transmission, isochronous transmission, and reception, respectively; each being configured of hardware means such as registers or semiconductor memory. In this  
15 embodiment of the invention, these FIFOs 30, 32, and 34 have an extremely small number of stages. For example, the number of stages per FIFO can be no more than three, or no more than two.

A DMAC 40 (read means), a DMAC 42 (read means), and a DMAC  
20 44 (write means) are DMA controllers for ATF, ITF, and RF, respectively. Use of these DMACs 40, 42, and 44 makes it possible to transfer data between a RAM 80 and the link core 20 without going through a CPU 66. Note that a register 46 provides control such as that over the DMACs 40, 42, and 44.

25 A port interface 50 is a circuit that provides an interface with an application-layer device (such as a device for performing print processing for a printer, by way of

example). In this embodiment of the invention, the use of this port interface 50 makes it possible to transfer 8-bit data, for example.

A FIFO (PF) 52 is a FIFO used for transferring data between an application-layer device and a DMAC 54 is a DMA controller for PF. A register 56 provides control over the port interface 50 and the DMAC 54.

An SBP-2 core 84 is a circuit that implements part of the SBP-2 protocol by hardware. A register 88 provides control over the SBP-2 core 84. A DMAC (for SBP-2) 86 is a DMA controller for the SBP-2 core 84.

A RAM area management circuit 300 is a circuit for managing the various areas within the RAM 80. When each of the areas within the RAM 80 becomes full or empty, the RAM area management circuit 300 uses various full or empty signals to control the DMACs 40, 42, 44, 54, and 86.

A CPU interface 60 provides an interface with the CPU 66 that controls the data transfer control device. The CPU interface 60 comprises an address decoder 62, a data synchronization circuit 63, and an interrupt controller 64. A clock control circuit 68 controls the clock signals used by this embodiment, and SCLK that is sent from the PHY device (PHY chip) and HCLK, which is the master clock, are input thereto.

A buffer manager 70 is a circuit that manages the interface with the RAM 80. The buffer manager 70 comprises a register 72 for controlling the buffer manager, an arbitration circuit 74 that arbitrates the bus connection to the RAM 80,

and a sequencer 76 that generates various control signals.

The RAM 80 functions as a randomly accessible packet storage means, where this function is implemented by SRAM, SDRAM, or DRAM or the like.

5        Note that the RAM 80 can be accommodated within the data transfer control device of this embodiment of the invention, but it is possible to attach part or all of the RAM 80 externally.

10        An example of the memory map of the RAM 80 is shown in Fig. 5. In this embodiment of the invention as shown in Fig. 5, the RAM 80 is divided into header areas (AR2, AR3, AR4, and AR6) and data areas (AR5, AR7, AR8, and AR9). The header of a packet (broadly speaking, control information) is stored in a header area and the data (ORB and stream) is stored in a data area.

15        In this embodiment of the invention, the data areas (AR5, AR7, AR8, and AR9) in the RAM 80 are divided into ORB areas (AR5 and AR7) and stream areas (AR8 and AR9), as shown in Fig. 5.

20        In addition, the RAM 80 in this embodiment is divided into reception areas (AR2, AR4, AR5, and AR9) and transmission areas (AR3, AR6, AR7, and AR8).

25        Note that each ORB (first data for a first layer) is data (commands) conforming to SBP-2 as described above. A stream (second data for a second layer that is above the first layer) is data for the application layer (such as print data for a printer, read or write data for a CD-RW, or image data that has been fetched by a scanner).

A page table area for hardware (HW), a reception header



area for HW, and a transmission header area for HW, denoted by AR1, AR2, and AR3, are areas used by the SBP-2 core 84 of Fig. 4 for writing and reading the page table, reception header, and transmission header.

5           Note that the areas denoted by AR4, AR5, AR8, and AR9 in Fig. 5 form a structure called a ring buffer.

          A bus 90 (or buses 92 and 94) shown in Fig. 4 is for connections to applications (a first bus). Another bus 95 (or bus 96), which is for controlling the data transfer control  
10   device, is connected electrically to a device (such as a CPU) that controls the data transfer control device as a second bus. Yet another bus 100 (or buses 102, 104, 105, 106, 107, 108, and 109) is for electrical connections to physical-layer devices (such as a PHY device), as a third bus. A further bus 110 (a  
15   fourth bus) is for electrical connections to RAM that acts as a randomly accessible storage means. A still further bus 99 (a fifth bus) is for reading and writing header information and page table information, to enable the SBP-2 core 84 to implement SBP-2 by hardware.

20           The arbitration circuit 74 in the buffer manager 70 arbitrates bus access requests from the DMACs 40, 42, and 44, the CPU interface 60, and the DMACs 86 and 54. Based on the results of this arbitration, a data path is established between one of the buses 105, 107, 109, 96, 99, and 94 and the bus 110  
25   of the RAM 80 (i.e., a data path is established between one of the first, second, third, and fifth buses and the fourth bus).

          One feature of this embodiment of the present invention

is the way in which it is provided with the RAM 80, which stores packets in a randomly accessible manner, and also the mutually independent buses 90, 96, 99, and 100 as well as the arbitration circuit 74 for connecting one of those buses to the bus 110 of the RAM 80.

A data transfer control device that has a different configuration from that of this embodiment is shown in Fig. 6, by way of example. In this data transfer control device, a link core 902 is connected to a PHY device by a PHY interface 900 and a bus 922. The link core 902 is connected to a CPU 912 by FIFOs 904, 906, and 908, a CPU interface 910, and a bus 920. The CPU 912 is also connected to a RAM 914, which is local memory in the CPU, by a bus 924.

The method of data transfer used with the data transfer control device configured as shown in Fig. 6 will now be described with reference to Fig. 7. A received packet sent from another node through a PHY device 930 passes through the bus 922, a data transfer control device 932, and the bus 920, then is accepted by the CPU 912. The CPU 912 temporarily writes the accepted received packet to the RAM 914 over the bus 924. The CPU 912 then reads the received packet that has been written to the RAM 914 over the bus 924, processes the received packet into a form that can be used by the application layer, then transfers it to an application-layer device 934 over a bus 926.

When the application-layer device 934 transfers data, on the other hand, the CPU 912 writes this data to the RAM 914. A header is attached to the data in the RAM 914 to create a packet

that conforms to IEEE 1394. The thus created packet is sent to another node over the path comprising the data transfer control device 932 and the PHY device 930.

However, if the data transfer method of Fig. 7 is employed,  
5 the processing load on the CPU 912 is extremely heavy. This means that, even if there is a fast transfer speed over the serial bus that connects nodes, the actual transfer speed of the entire system is slowed by factors such as processing overheads of the CPU 912, so that it is ultimately not possible to implement  
10 high-speed data transfer.

In contrast thereto, this embodiment of the invention ensures that the bus 90 between a data transfer control device 120 and an application-layer device 124; the CPU bus 96; and the bus 110 between the data transfer control device 120 and  
15 the RAM 80 are mutually separated, as shown in Fig. 8. The configuration is therefore such that the CPU bus 96 can be used solely for controlling data transfer. The bus 90 is also dedicated so that it can be used for data transfer between the data transfer control device 120 and the application-layer  
20 device 124. If, for example, the electronic equipment in which the data transfer control device 120 is incorporated is a printer, the bus 90 can be used exclusively for transferring print data. As a result, the processing load on the CPU 66 can be reduced and the actual transfer speed of the entire system  
25 can be increased. In addition, an inexpensive device can be employed as the CPU 66 and it is also no longer necessary to use a high-speed bus as the CPU bus 96. This ensures that the

electronic equipment can be made less expensive and more compact.

### 3. Characteristics of This Embodiment

#### 5 3.1 Data Area Division (Division into ORB and Stream Areas)

A first characteristic of this embodiment of the present invention lies in the way the RAM 80 of Fig. 4 is divided into header areas (AR2, AR3, AR4, and AR6) and data areas (AR5, AR7, AR8, and AR9), and also the data areas are divided into ORB areas  
10 (AR5 and AR7) and stream areas (AR8 and AR9).

In other words, the division of the RAM into header and data areas enables the firmware to read headers sequentially from the header areas or write headers sequentially to the header areas. This has an advantage in that the processing load  
15 on the firmware can thus be reduced by a certain amount. However, it has become clear that dividing the RAM into just header areas and data areas is insufficient from the viewpoint of further increasing the speed of data transfer.

As shown in Fig. 9, for example, received packets are  
20 divided into headers and data, with headers 1, 2, and 3 written to header areas and data 1, 2, and 3 written to data areas.

In such a case, the data consists of ORBs for the SBP-2 (first layer) and streams for the application layer (second layer) that is an upper layer, as previously described. With  
25 the header and data areas in RAM being simply separated, the ORBs and streams will therefore become mixed in the data areas, as shown at D1, D2, and D3 in Fig. 9.

For that reason, the processing described below is necessary when transferring streams of data from RAM to an application-layer device. First of all, the data pointer is set to the D4 position and streams 11, 12, and 13 are read out, then  
5 the data pointer is changed to the D5 position and streams 21, 22, and 23 are read out. The data pointer is subsequently changed to the D6 position and streams 31, 32, and 33 are read out.

Simply separating the RAM into header and data areas in this fashion makes it necessary for the firmware to control the  
10 read addresses during the transfer of streams to the application-layer device, so that the processing load on the firmware cannot be reduced significantly. In addition, it is not possible to read out the streams sequentially from the data areas, so the actual transfer speed of the data transfer control  
15 device cannot be increased significantly.

On the other hand, the data area in Fig. 10 is divided into ORB areas and stream areas. Such a configuration makes it possible for the firmware (transaction layer) to read the ORBs 1, 2, and 3 sequentially from the ORB areas. In addition, it  
20 is possible to read out the streams 11 to 33 sequentially from the stream areas in RAM, without intervention from the firmware. In other words, it is possible to transfer streams (such as print data) between another node 123 (such as a personal computer) and an application-layer device (such as a device that processes  
25 print data for a printer), without the intervention of the firmware (CPU) 66, as shown in Fig. 11. As a result, the processing load on the firmware can be greatly reduced in

comparison with the case shown in Fig. 9, and also the data transfer can be made far faster.

Note that Fig. 10 illustrates a case in which the data transfer control device 120 of this embodiment of the invention is receiving streams (a transfer of streams from another node 123 to the application-layer device 124 in a direction DR1. However, the data transfer speed can also be increased when the data transfer control device 120 is transmitting streams (when the transfer is from the application-layer device 124 to another node 123 in a direction DR2), by dividing the data area into a transmission ORB area (AR7 in Fig. 5) and a transmission stream area (AR8)

The division of the data areas into ORB areas and stream areas has the effects described below.

The RAM area management circuit 300 manages the stream areas as shown in Fig. 12, by way of example. More specifically, if a large number of streams are written to the stream areas so that the stream areas become full, the RAM area management circuit 300 makes a signal STRMFULL go active. When that happens, the DMAC 44 (write means) does not make the write request WREQ to RAM become active when it receives this STRMFULL. This ensures that streams are not written to the stream areas.

When a large number of streams are read from the stream areas so that the stream areas become empty, on the other hand, the RAM area management circuit 300 makes a signal STRMEMPTY go active. When that happens, the DMAC 54 (read means) does not make the read request RREQ to RAM become active when it receives

this STRMEMPTY. This ensures that streams are not read from the stream area (streams are not transferred to the application-layer device).

Dividing the data areas into ORB areas and stream areas in this manner ensures that it is sufficient to provide simple control which ensures that writing to the stream areas is inhibited when the stream areas are full and reading therefrom is inhibited when they become empty. It is therefore possible to control the data transfer without intervention from the firmware. As a result, the processing load on the firmware can be reduced. Since data transfer is controlled by hardware with no intervention from the firmware, which has lower processing capabilities, it is possible to achieve a dramatic increase in data transfer speed.

### 3.2 Utilization of Transaction Label To Switch Write Areas

Under IEEE 1394, an object called a transaction label tl is used as information for identifying each transaction.

In other words, a transaction requesting node includes this transaction label tl within the request packet and sends it to the responding node. On receiving this request packet, the responding node includes the same tl in the response packet and sends it back to the requesting node. The requesting node can identify that this response packet is in response to a transaction requested by itself, by checking the tl within the returned response packet.

It is sufficient to ensure that the transaction label tl

has a unique relationship with respect to the responding node. More specifically, if a requesting node L has issued a transaction with  $tl = N$  with respect to a responding node M, it is not possible for the requesting node L to issue another transaction with  $tl = N$  with respect to the responding node M while that transaction remains incomplete. In other words, each transaction is uniquely specified by the transaction label  $tl$ , a source ID, and a destination ID. Conversely, the transaction label  $tl$  can have any value and other nodes must be able to receive any value of  $tl$ , provided the above restriction is respected.

When the requesting node has sent a request packet and is waiting for a response packet, it is possible that the processing that is performed when the response packet arrives has already been determined. In this case, this embodiment of the invention uses the method described below for drawing attention to the nature of the transaction label  $tl$ .

When a request packet for starting a transaction is sent to the responding node, indication information indicating the processing to be performed when the response packet is returned is comprised within the transaction label  $tl$  (broadly speaking, transaction identification information) comprised within the request packet, as shown in Fig. 13A. The configuration is such that the processing corresponding to the indication information comprised within  $tl$  is executed when the response packet is received from the responding node.

This ensures that the processing corresponding to the



indication information comprised within t1 can be executed by the hardware when the response packet is returned, without involving the firmware. This enables a reduction in the processing load on the firmware, and also tends to increase data transfer speeds.

More specifically, when a response packet is received from the responding node in accordance with this embodiment of the invention, that response packet is stored in the area specified by the indication information comprised within t1.

In other words, bits 5 and 4 of the transaction label t1 are reserved beforehand to express the indication information, as shown in Fig. 13B.

If the returned response packet is to be written to the hardware (HW) area, bit 5 of t1 of the request packet is set to 1 for transmission to the responding node. If the returned response packet is to be written to the firmware (FW) area, on the other hand, bit 5 of t1 of the request packet is set to 0 for transmission to the responding node.

If the returned response packet is to be written to the stream area, bit 4 of t1 of the request packet is set to 1 for transmission to the responding node. If the returned response packet is to be written to the ORB area, bit 4 of t1 of the request packet is set to 0 for transmission to the responding node.

This makes it possible to ensure that the header and data of the response packet are written to the corresponding areas shown in Fig. 14, when the response packet is returned.

In other words, if t1 = 1xxxxx (where "x" means "don't

care"), the header of the response packet is written to the reception header area for HW, but if tl = 0xxxxx, it is written to the reception header area for FW.

Similarly, if tl = 11xxxx, the data of the response packet  
5 is written to the reception stream area for HW, but if tl = 10xxxx, it is written to the reception ORB area for HW. If tl = 01xxxx, the data of the response packet is written to the reception stream area for FW, but if tl = 00xxxx, it is written to the reception ORB area for FW.

10 This arrangement ensures that the header and data of a packet can be written automatically to the corresponding areas in RAM, without intervention from the firmware. The configuration of the hardware that writes the response packet into RAM can be simplified, leading to a reduction in the size  
15 of the data transfer control device.

As described with reference to Fig. 10, the writing of packet headers to the header areas, ORBs to the ORB areas, and streams to the stream areas can be done automatically, which reduces the processing load on the firmware and promotes faster  
20 data transfer.

The description now turns to a detailed example of the processing for writing the headers and data of packets to various areas in RAM, based on the value of tl, with reference to Fig. 15.

25 The system first determines whether or not the destination ID comprised within the initial quadlet of a received packet matches the ID of the self node (step S1). If

it is not a packet addressed to the self node, that packet is destroyed (step S2).

The system then checks the transaction code tcode comprised within the initial quadlet of the received packet to  
5 determine whether or not the received packet is a block/read/response packet (step S3). If the packet is not a block/read/response, the processing moves to step S10.

Bits 5 and 4 of the transaction label t1 comprised within the initial quadlet of the received packet are then identified  
10 by steps S4, S5, and S6. If bits 5 and 4 are (1, 1), the flow branches to step S7; if they are (1, 0), it branches to step S8; if they are (0, 1), the flow branches to step S9; and if they are (0, 0), the flow branches to step S10.

The data of the received packet is transferred to the  
15 reception stream area for HW if the flow branched to step S7, to the reception ORB area for HW if the flow branched to step S8, to the reception stream area for FW if the flow branched to step S9, or to the reception ORB area for FW if the flow branched to step S10. The header of the received packet is  
20 transferred to the reception header area for HW (step S11) if bit 5 of t1 is one, or to the reception header area for FW if bit 5 of t1 is zero (step S12).

Note that if the received packet is determined by step S3 to not be a block/read/response packet, the flow branches  
25 to step S10. Most received packets that are not block/read/response packets are considered to be packets comprising commands, so it is considered appropriate to store

such a command packet in the reception ORB area for FW and reception header area for FW, for processing by the firmware.

### 3.3 Division of Reception Stream Area and Transmission Stream Area

This embodiment of the present invention is provided with registers TSR (a first address storage means) and a TER (a second address storage means) for holding a transmission area start address TS and a transmission area end address TE used for reserving a transmission stream area within a stream area (a second data area), as shown in Fig. 16. Registers RSR (a third address storage means) and RER (a fourth address storage means) are also provided for holding a reception area start address RS and a reception area end address RE used for reserving a reception stream area within a stream area.

Note that the registers TSR, TER, RSR, and RER of this embodiment of the invention can be overwritten by the firmware (CPU). At least one of the registers TSR and RER in Fig. 16 could be configured to hold a fixed value so that it cannot be overwritten by the firmware.

The transmission stream area is positioned above the reception stream area in Fig. 16 but the transmission stream area could equally well be positioned below the reception stream area. In such a case, at least one of the registers RSR and TER could be configured to hold a fixed value so that it cannot be overwritten by the firmware.

The provision of the registers TSR, TER, RSR, and RER

shown in Fig. 16 make it possible to reserve areas for each mode, as shown in Figs. 17A, 17B, 17C, and 17D.

In a first mode, shown in Fig. 17A by way of example, the transmission area start address TS stored in the register TSR and the reception area start address RS stored in the register RSR are set to the start address S of the stream area. The transmission area end address TE stored in the register TER and the reception area end address RE stored in the register RER are set to the end address E of the stream area.

This first mode makes it possible to use the entire stream area for both reception and transmission.

In a second mode shown in Fig. 17B, the transmission area start address TS, transmission area end address TE, and reception area start address RS are set to the start address S of the stream area and the reception area end address RE is set to the end address E of the stream area (TS and TE could also be set to E).

This second mode makes it possible to use the entire stream area as a reception stream area.

In a third mode shown in Fig. 17C, the transmission area start address TS is set to the start address S of the stream area and the reception area start address RS, reception area end address RE, and transmission area end address TE are set to the end address E of the stream area (RS and RE could also be set to S).

This third mode makes it possible to use the entire stream area as a transmission stream area.

In a fourth mode shown in Fig. 17D, the transmission area start address TS is set to the start address S of the stream area, the transmission area end address TE and the reception area start address RS are set to a boundary address B of the stream area, and the reception area end address RE is set to the end address E of the stream area.

This fourth mode makes it possible to use part of the stream area in the transmission stream area and the other part thereof in the reception stream area.

10 In electronic equipment such as a CD-RW drive or hard disk drive, by way of example, streams are transferred in both the DR1 and DR2 directions shown in Fig. 11. It is also not usual for stream transfer in the DR1 direction and stream transfer in the DR2 direction to occur at the same time. Therefore, the first mode shown in Fig. 17A may be used to reserve the area in this case. This makes it possible to utilize RAM efficiently so that, if the storage capacity of the stream area is 4 kilobytes, by way of example, the entire 4 kilobytes of storage capacity can be reserved during transmission and during reception.

20 Note that since separate transmission and reception stream areas are reserved in the stream area in a comparative example shown in Fig. 18, the configuration is provided with a register SR for holding the start address S of the stream area, a register BR for holding the boundary address B of the stream area, and a register ER for holding the end address E of the stream area.

With this comparative example shown in Fig. 18, however,

it is possible to set the stream area for the second, third, and fourth modes shown in Figs. 17B, 17C, and 17D, but it is not possible to set it for the first mode of Fig. 17A. When such a data transfer control device is incorporated in a CD-RW drive or hard disk drive, therefore, the areas can only be reserved as shown in the fourth mode of Fig. 17D, which has a disadvantage in that the RAM is not used efficiently, as it is in the first mode shown in Fig. 17A.

With electronic equipment such as a printer, the stream is transferred in the direction DR1 shown in Fig. 11 (the data transfer control device receives the stream). In such a case, it is possible to reserve the area in the second mode of Fig. 17B, to set the entire area to be a reception stream area. With such a configuration, it is possible to use the entire stream area efficiently for transferring the stream.

Conversely, with electronic equipment such as a scanner or CD-ROM, the stream is transferred in the direction DR2 shown in Fig. 11 (the data transfer control device transmits the stream). In such a case, it is possible to reserve the area in the third mode of Fig. 17C, to set the entire area to be a transmission stream area. With such a configuration, it is possible to use the entire stream area efficiently for transferring the stream.

Note that, with electronic equipment that uses the stream area as cache memory, it is possible to reserve the area in the fourth mode shown in Fig. 17D.

#### 4. Detailed Example

##### 4.1 Detailed Configuration of Reception Side

The description now turns to details of the configuration of the reception side. An example of the detailed configuration of the link core 20 (link means), a FIFO 34, and the DMAC 44 (write means) is shown in Fig. 19.

The link core 20 comprises a bus monitor circuit 130, a serial-parallel conversion circuit 132, and a packet shaping (reforming) circuit 160.

10       The bus monitor circuit 130 in this case monitors an 8-bit wide data bus D and a 2-bit wide control bus CTL that are connected to a PHY device by the PHY interface 10.

The serial-parallel conversion circuit 132 converts the data on the data bus D into 32-bit data.

15       The packet shaping circuit 160 shapes (reforms) each packet that has been transferred in from another node, into a form that can be used by an upper layer. The format of a packet having block data in asynchronous transfer in accordance with the IEEE 1394 standard is shown in Fig. 20A by way of example.

20       The format of a header portion (stored in the header area of the RAM 80) of a packet having block data in asynchronous reception is shown in Fig. 20B. The thus-configured embodiment of the present invention shapes a packet in the format shown in Fig. 20A into a packet of the format shown in Fig. 20B, so

25       that it can be used by an upper layer such as the firmware.

The packet shaping circuit 160 comprises a packet check circuit 142, a sequencer 167, a buffer 168, and a selector 170;



and the packet check circuit 142 comprises a tag generation circuit 162, a status generation circuit 164, and an error check circuit 166.

5 The packet check circuit 142 in this case is a circuit that diagnoses packets. The tag generation circuit 162 creates tags that are information for delimiting the header, data, and other parts of the packets, and the status generation circuit 164 creates various statuses to be added to the packets. The error check circuit 166 investigates error check information, 10 such as parity information and CRCs, which are comprised within each packet, to detect any errors therein.

The sequencer 167 creates various control signals. The buffer 168 and the selector 170 select one of DI from the serial-parallel conversion circuit 132, a status from the 15 packet check circuit 142, or data pointers from the DMAC 44, using a SEL signal from the packet check circuit 142.

The FIFO 34 functions as a buffer for adjusting the phase of RD (which is output data from the link core 20) and the phase of WDATA (which is data to be written to the RAM 80), and it 20 comprises a FIFO state judgement circuit 35. The FIFO state judgement circuit 35 makes an EMPTY signal go active when the FIFO is empty and a FULL signal go active when the FIFO is full.

The DMAC 44 comprises a packet division circuit 180, an access request execution circuit 190, and an access request 25 generation circuit 192.

The packet division circuit 180 in this case divides packets that have been shaped by the packet shaping circuit 160

into data, headers, and other parts, based on the tags (DTAGs), then writes those parts to the various RAM areas (see Fig. 5).

The access request execution circuit 190 executes access requests from the link core 20. When the FULL signal from the FIFO state judgement circuit 35 is active, the access request execution circuit 190 makes a FFULL signal go active. The sequencer 167 within the packet shaping circuit 160 makes RDS, which is a RD (RxData) strobe signal, go active on condition that FFULL is not active.

Note that RFAIL is a signal used by the sequencer 167 to inform the access request execution circuit 190 that a reception has failed.

The access request generation circuit 192 issues an access request to the RAM 80. The access request generation circuit 192 receives WACK (which is a write acknowledgment from the buffer manager 70) and EMPTY from the FIFO state judgement circuit 35, and outputs WREQ (which is a write request) to the buffer manager 70.

#### 4.2 Packet Division and Writing to RAM Areas

The tag generation circuit 162 generates 4-bit tags, as shown in Fig. 21. The link core 20 outputs the thus-generated 4-bit tags simultaneously to the FIFO 34, while outputting the start (the first quadlet), header, data (ORB and stream) of each packet (see Fig. 20B) as RD to the FIFO 34. With this embodiment of the present invention, these tags are used in packet division and writing to the various areas in RAM (see Figs. 5 and 10).

More specifically, a tag determination circuit 182 shown in Fig. 19 identifies the DTAG codes (tags) that are output by the FIFO 34 and determines the write area for the output WDATA of the FIFO 34. A pointer update circuit 184 comprised within  
5 the address generation circuit 188 sequentially updates (increments or decrements) the pointers (data pointer and header pointer) in the thus-determined area. The address generation circuit 188 generates an address as indicated by the sequentially updated pointers and outputs it as WADR to the  
10 buffer manager 70. This configuration ensures that the header, ORB, and stream of each packet are written to the corresponding areas in RAM, as shown in Fig. 5.

Note that the address generation circuit 188 outputs a data pointer DP (a data pointer for the reception ORB area or  
15 a data pointer for the reception stream area) to the packet shaping circuit 160 and the packet shaping circuit 160 embeds this data pointer in the header of the packet (see C30 in Fig. 20B). This makes it possible to link each header stored in the header area to the corresponding data stored in the data area.

20 The tag generation circuit 162 generates the tags of Fig. 21 by using the transaction label t1 that was described with reference to Figs. 13A and 13B, and outputs them to the FIFO 34. If the output RD of the link core 20 is a header and the transaction label t1 is 1xxxxx (where "x" means "don't care"),  
25 by way of example, the tag generation circuit 162 generates the tag (1001) or (1010). This ensures that the header of the corresponding received packet is written to the reception

header area for hardware (HW), as shown in Fig. 14. Note that "for hardware/HW" in this case denotes that this data is for the SBP-2 core 84 of Fig. 4.

If the output RD of the link core 20 is a header and t1 is 0xxxxx, the tag generation circuit 162 generates the tag (0001) or (0010). This ensures that the header of the received packet is written to the reception header area for FW.

Similarly, if RD is data and t1 is 11xxxx, the tag (1101) is generated. This ensures that the data (stream) of the received packet is written to the reception stream area for HW.

Furthermore, if RD is data and t1 is 10xxxx, the tag (1100) is generated. This ensures that the data (ORB) of the received packet is written to the reception ORB area for HW.

In addition, if RD is data and t1 is 01xxxx, the tag (0101) is generated. This ensures that the data (stream) of the received packet is written to the reception stream area for FW.

Finally, if RD is data and t1 is 00xxxx, the tag (0100) is generated. This ensures that the data (ORB) of the received packet is written to the reception ORB area for FW.

The use of the transaction label t1 by this embodiment of the invention as described above makes it possible to implement packet division and writing to the various areas in RAM.

#### 4.3 Stream Area Management and Start/End Address Setting

A detailed example of the configuration of the DMACs 44 and 54, the registers 46 and 56, and the RAM area management

circuit 300 is shown in Fig. 22.

The description first concerns the various pointer registers 310, 312, 314, 316, 318, 320, 322, and 324 shown in Fig. 22. In this embodiment of the present invention, the pointer registers shown in Fig. 23 are provided for management of the areas in RAM. At any time, the firmware (CPU) can read pointer addresses that are stored in these pointer registers, though the CPU interface 60 of Fig. 4.

In this case, a processed header pointer register UHPR holds a pointer UHP that indicates a boundary RB21 between processed (used) headers and unprocessed headers. A received header pointer register PHPR holds a pointer PHP that indicates a boundary RB31 between the most recent (post) received header and a non-received header.

Similarly, a processed ORB pointer register UOPR holds a pointer UOP that indicates a boundary RB22 between processed ORBs and unprocessed ORBs. A received ORB pointer register POPR contains a pointer POP that indicates a boundary RB32 between the most recent received ORB and a non-received ORB.

Note that a bus reset header pointer register BHPR holds a pointer BHP that indicates a boundary RB11 between the header of a packet received before the occurrence of a bus reset and the header of a packet received after the occurrence of the bus reset. Similarly, a bus reset ORB pointer register BOPR holds a pointer BOP that indicates a boundary RB12 between the ORB of a packet received before the occurrence of a bus reset and the ORB of a packet received after the occurrence of the bus

reset. The provision of these registers BHPR and BOPR enables the firmware to detect the location at which the bus reset occurred, in a simple manner. This makes it possible to greatly reduce the processing load on the firmware after the occurrence  
5 of a bus reset.

The description returns to Fig. 22. The registers 310, 314, and 318 of Fig. 22 are registers for storing the received header pointer, the received ORB pointer, and the received stream pointer, respectively. These registers 310, 314, and 318  
10 receive WHADR (address of the header area), WOADR (address of the ORB area), and WSADR (address of the stream area) from the address generation circuit 188. The registers 310, 314, and 318 also receive a signal RXCOMP (a signal that goes active when reception is completed) from the link core 20. The registers  
15 310, 314, and 318 fetch and store WHADR, WOADR, and WSADR from the address generation circuit 188 at the timing at which this RXCOMP goes active. This makes it possible to store the addresses of the boundaries RB31, RB32, etc., of Fig. 23.

Registers 312 and 316 are registers for storing the bus  
20 reset header pointer and bus reset ORB pointer, respectively. These registers 312 and 316 receive a BRIP signal (a signal that is active during a bus reset) from the link core 20. The registers 312 and 316 fetch and store the addresses that are stored in the registers 310 and 314 at the timing at which this BRIP goes  
25 active. This makes it possible to store the addresses of the boundaries RB11 and RB12 of Fig. 23.

Registers 320, 322, and 324 are registers for storing the

processed header pointer, the processed ORB pointer, and the processed stream pointer, respectively.

A start/end address register 326 stores the start address and end address of each area shown in Fig. 5. More specifically, it is configured of registers (the transmission area start address register TSR, the transmission area end address register TER, the reception area start address register RSR, and the reception area end address register RER) that hold the transmission area start address TS, the transmission area end address TE, the reception area start address RS, and the reception area end address RE, respectively, that were described with reference to Fig. 16. Address generation circuits 188 and 332 control the generation of addresses, based on the start and end addresses from the register 326. More specifically, they update the pointers sequentially, using each start address as a start point. If a pointer has passed an end address, the control is such that the pointer returns to the corresponding start address (ring buffer structure).

The RAM area management circuit 300 comprises a reception header area management circuit 302, a reception ORB area management circuit 304, and a reception stream area management circuit 306.

The reception header area management circuit 302 receives the received header pointer from the register 310 and the processed header pointer from the register 320, and outputs a signal HDRFULL indicating that the reception header area is full to the access request generation circuit 192.

5 The reception ORB area management circuit 304 receives the received ORB pointer from the register 314 and the processed ORB pointer from the register 322, and outputs a signal ORBFULL indicating that the reception ORB area is full to the access request generation circuit 192.

10 The reception stream area management circuit 306 receives the received stream pointer from the register 318 and the processed stream pointer from the register 324, and outputs the signal STRMFULL indicating that the reception stream area is full to the access request generation circuit 192. It also outputs a signal STRMEMPTY indicating that the reception stream area is empty to an access request generation circuit 334.

15 The access request generation circuits 192 and 334 receive these full and empty signals and determine whether or not to output a write request WREQ or read request RREQ to the buffer manager 70.

20 This ensures that management of the reception stream areas is done by the hardware of the reception stream area management circuit 306 in accordance with this embodiment of the present invention, without involving the firmware. It is therefore possible to reduce the processing load on the firmware, as described with reference to Fig. 12, and also dramatically increase the data transfer speed.

## 25 5. Electronic Equipment

The description now turns to examples of electronic equipment comprising the data transfer control device of this



embodiment of the invention.

5 An internal block diagram of a printer that is one example of such electronic equipment is shown in Fig. 24A with an external view thereof being shown in Fig. 25A. A CPU (microcomputer) 510 has various functions, including that of controlling the entire system. An operating section 511 is designed to allow the user to operate the printer. Data such as a control program and fonts is stored in a ROM 516, and a RAM 518 functions as a work area for the CPU 510. A display panel 10 519 is designed to inform the user of the operational state of the printer.

Print data that is sent from another node, such as a personal computer, through a PHY device 502 and a data transfer control device 500 is sent directly to a print processing 15 section 512 over a bus 504. The print data is subjected to given processing by the print processing section 512 and is output for printing to paper by a print section (a device for outputting data) 514 comprising components such as a print head.

20 An internal block diagram of a scanner that is another example of electronic equipment is shown in Fig. 24B with an external view thereof being shown in Fig. 25B. A CPU 520 has various functions, including that of controlling the entire system. An operating section 521 is designed to allow the user to operate the scanner. Data such as a control program is stored 25 in a ROM 526 and a RAM 528 functions as a work area for the CPU 520.

An image of a document is read in by an image read section

(a device for fetching data) 522, which comprises components such as a light source and an opto-electric converter, and data of the read-in image is processed by an image processing section 524. The processed image data is sent directly to the data transfer control device 500 over a bus 505. The data transfer control device 500 creates packets by attaching headers and the like to this image data, then sends those packets through the PHY device 502 to another node such as a personal computer.

An internal block diagram of a CD-RW drive that is a further example of electronic equipment is shown in Fig. 24C with an external view thereof being shown in Fig. 25C. A CPU 530 has various functions, including that of controlling the entire system. An operating section 531 is designed to allow the user to operate the CD-RW. Data such as a control program is stored in a ROM 536 and a RAM 538 functions as a work area for the CPU 530.

Data read out from a CD-RW 532 by a read/write section (a device for fetching data or a device for storing data) 533, which comprises components such as a laser, a motor, and an optical system, is input to a signal processing section 534 where it is subjected to given signal processing such as error correction. The data that has been subjected to this signal processing is sent directly to the data transfer control device 500 over a bus 506. The data transfer control device 500 creates packets by attaching headers and the like to this data, then sends those packets through the PHY chip 502 to another node such as a personal computer.

5 Data that has been sent in from another node through the  
PHY chip 502 and the data transfer control device 500, on the  
other hand, is sent directly to the signal processing section  
534 over the bus 506. The data is subjected to given signal  
processing by the signal processing section 534 then is stored  
by the read/write section 533 into the CD-RW 532.

10 Note that a separate CPU for providing data transfer  
control with respect to the data transfer control device 500  
could be provided in addition to the CPU 510, 520, or 530 of  
Fig. 24A, 24B, or 24C.

In addition, a RAM 501 (equivalent to the RAM 80 of Fig.  
4) is shown provided outside the data transfer control device  
500 in Figs. 24A, 24B, and 24C, but the RAM 501 could equally  
well be provided within the data transfer control device 500.

15 Use of the data transfer control device of this embodiment  
in electronic equipment makes it possible to perform high-speed  
data transfer. Therefore, if a user wishes to order a printout  
from a personal computer or the like, the printout can be  
completed with only a small time lag. Similarly, a user can see  
20 a scanned image with only a small time lag after instructing  
the scanner to take an image. It is also possible to read data  
from a CD-RW or write data to a CD-RW at high speeds. The present  
invention also makes it simple to use a plurality of items of  
electronic equipment connected to one host system or a plurality  
25 of items of electronic equipment connected to a plurality of  
host systems, for example.

Use of the data transfer control device of this embodiment

in electronic equipment also reduces the processing load on  
firmware running on the CPU, making it possible to use an  
inexpensive CPU and low-speed buses. This also enables  
reductions in the cost and size of the data transfer control  
5 device, thus reducing the cost and size of the electronic  
equipment.

Note that the electronic equipment that can employ a data  
transfer control device in accordance with the present  
invention is not limited to the above described embodiments,  
10 and thus various other examples can be considered, such as  
various types of optical disk drive (CD-ROM or DVD),  
magneto-optic disk drives (MO), hard disk drives, TVs, VTRs,  
video cameras, audio equipment, telephones, projectors,  
personal computers, electronic organizers, and dedicated  
15 wordprocessors.

Note also that the present invention is not limited to  
the embodiments described herein, and various modifications are  
possible within the scope of the invention as laid out herein.

For example, the configuration of the data transfer  
20 control device in accordance with the present invention may be  
that as shown in Fig. 4, but it is not limited thereto.

Similarly, the packet division method and the methods  
used to write/read packets with respect to the various areas  
of the packet storage means are not limited to the methods  
25 described with reference to Figs. 5 and 10.

First data may be data for the transaction layer and  
second data may be data for the application layer, but the first

and second data in accordance with the present invention is not specifically limited thereto.

The processing based on the indication information comprised within the transaction identification information of  
5 response packets may be the writing of response packets (headers and data) to the areas indicated by the indication information, but the present invention is not limited thereto.

Similarly, the present invention can be applied to data transfer as defined by the IEEE 1394 standard, but it is not  
10 limited thereto. For example, the present invention can also be applied to data transfer in accordance with standards that are based on a similar concept to that of IEEE 1394 or standards that are developed from IEEE 1394.

## CLAIMS

1. A data transfer control device for transferring data between a plurality of nodes connected to a bus, the data transfer control device comprising:

link means which provides services for packet transfer between nodes;

write means which writes a packet that has been received through the link means to a randomly accessible packet storage means; and

packet division means which writes control information of the packet to a control information area of the packet storage means, writes first data of the packet for a first layer to a first data area of the packet storage means, and writes second data of the packet for a second layer that is a layer above the first layer to a second data area of the packet storage means.

2. The data transfer control device as defined in claim 1, wherein the first data is command data used by the protocol of the first layer and the second data is data used by an application layer.

3. The data transfer control device as defined in claim 1, further comprising:

area management means which makes a full signal go active when the second data area is full, to inhibit the write means from writing the second data to the second data area, and makes

an empty signal go active when the second data area is empty,  
to inhibit the second layer from reading the second data from  
the second data area.

5 4. The data transfer control device as defined in claim 1,  
wherein when a request packet which is used for starting  
a transaction is transmitted to a responding node, transaction  
identification information comprised within the request packet  
includes indication information which indicates processing to  
10 be performed when a response packet from the responding node  
is received; and

wherein the control information and the first and second  
data of the response packet are written into an area specified  
by the indication information within the transaction  
15 identification information of the response packet, when the  
response packet from the responding node is received.

5. A data transfer control device for transferring data  
between a plurality of nodes connected to a bus, the data  
20 transfer control device comprising:

means which makes transaction identification information  
within a request packet include indication information which  
indicates processing to be performed after reception of a  
response packet from a responding node, when the request packet  
25 which is used for starting a transaction is transmitted to the  
responding node; and

means which performs the processing indicated by the

identification information of the response packet, when the response packet from the responding node is received.

6. The data transfer control device as defined in claim 5,  
5 wherein control information and data of the response packet are written into an area specified by the indication information within the transaction identification information of the response packet, when the response packet from the responding node is received.

10 7. The data transfer control device as defined in claim 5,  
wherein a given bit of the transaction identification information is previously reserved as a bit for expressing the indication information.

15 8. The data transfer control device as defined in claim 5,  
wherein the transaction identification information is a transaction label in accordance with the IEEE 1394 standard.

20 9. A data transfer control device for transferring data between a plurality of nodes connected to a bus, the data transfer control device comprising:

link means which provides services for packet transfer between nodes;

25 randomly accessible packet storage means which stores a packet;

write means which writes a packet that has been received



write means which writes a packet that has been received from another node through the link means, to the packet storage means; and

means which reads the packet that has been written to the  
5 packet storage means and transfers the packet to the link means;

wherein the packet storage means is divided into a control information area in which is stored packet control information and a data area in which is stored packet data, and the data area is divided into a first data area for storing first data  
10 for a first layer and a second data area for storing second data for a second layer.

10. The data transfer control device as defined in claim 9, further comprising:

15 a first address storage means which stores a transmission area start address for reserving a transmission area in the second data area;

a second address storage means which stores a transmission area end address for reserving a transmission area  
20 in the second data area;

a third address storage means which stores a reception area start address for reserving a reception area in the second data area; and

a fourth address storage means which stores a reception  
25 area end address for reserving a reception area in the second data area.

11. The data transfer control device as defined in claim 10,  
wherein:

the transmission area start address and the reception  
area start address are set to the start address of the second  
5 data area, and transmission area end address and the reception  
area end address are set to the end address of the second data  
area.

12. The data transfer control device as defined in claim 10,  
10 wherein:

both the transmission area start address and the  
transmission area end address are set to either the start  
address or the end address of the second data area, the reception  
area start address is set to the start address of the second  
15 data area, and the reception area end address is set to the end  
address of the second data area.

13. The data transfer control device as defined in claim 10,  
wherein:

20 both the reception area start address and the reception  
area end address are set to either the start address or the end  
address of the second data area, the transmission area start  
address is set to the start address of the second data area,  
and the transmission area end address is set to the end address  
25 of the second data area.

14. The data transfer control device as defined in claim 1.

wherein data transfer is in accordance with the IEEE 1394 standard.

15. The data transfer control device as defined in claim 5,  
5 wherein data transfer is in accordance with the IEEE 1394 standard.

16. The data transfer control device as defined in claim 9,  
wherein data transfer is in accordance with the IEEE 1394  
10 standard.

17. Electronic equipment comprising:  
the data transfer control device as defined in any of  
claims 1 to 4, or 14;  
15 a device which performs given processing on data that has  
been received from another node through the data transfer  
control device and a bus; and  
a device which outputs or stores data that has been  
subjected to processing.

20 18. Electronic equipment comprising:  
a data transfer control device as defined in any of claims  
5 to 8, or 15;  
a device which performs given processing on data that has  
25 been received from another node through the data transfer  
control device and a bus; and  
a device which outputs or stores data that has been

subjected to processing.

19. Electronic equipment comprising:

a data transfer control device as defined in any of claims

5 9 to 13, or 16;

a device which performs given processing on data that has been received from another node through the data transfer control device and a bus; and

a device which outputs or stores data that has been  
10 subjected to processing.

20. Electronic equipment comprising:

a data transfer control device as defined in any of claims

1 to 4, or 14;

15 a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to processing.

20

21. Electronic equipment comprising:

a data transfer control device as defined in any of claims

5 to 8, or 15;

25 a device which performs given processing on data that is to be transferred to another node through the data transfer control device and a bus; and

a device which takes in data to be subjected to

processing.

22. Electronic equipment comprising:

a data transfer control device as defined in any of claims

5 9 to 13, or 16;

a device which performs given processing on data that is  
to be transferred to another node through the data transfer  
control device and a bus; and

a device which takes in data to be subjected to  
10 processing.

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## ABSTRACT

An objective of the present invention is to provide a data transfer control device and electronic equipment which make it possible to reduce processing overheads in the firmware and implement high-speed data transfer. In a data transfer control device in accordance with the IEEE 1394 standard, the header of a packet is written to a header area, the ORB (data for SBP-2) of the packet is written to an ORB area, and the stream (data for the application layer) of the packet is written to a stream area. The stream area is managed by hardware in accordance with full and empty signals. Indication information is comprised within a transaction label tl of a request packet, and the header, ORB, and stream of a response packet are written to areas indicated by the indication information comprised within tl, when the response packet is received. The device is also provided with registers TSR and TER that contain addresses TS and TE for securing a transmission area in the stream area and registers RSR and RER that contain addresses RS and RE for securing a reception area therein.

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## Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

### Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は、下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

データ転送制御装置及び電子機器

DATA TRANSFER CONTROL DEVICE AND ELECTRONIC EQUIPMENT

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ \_\_\_\_\_に提出され、米国出願番号または  
特許協定条約 国際出願番号を \_\_\_\_\_ とし、  
（該当する場合） \_\_\_\_\_ に訂正されました。

☐ was filed on \_\_\_\_\_  
as United States Application Number or  
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私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編第1条56項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

**Japanese Language Declaration**

(日本語宣言書)

私は、米国法典第35編119条(a)-(d)項又は365条(b)項に基づき下記の、米国以外の国の少なくとも1ヶ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

外国での先行出願

**Priority Not Claimed**

優先権主張なし

<u>11-201250(P)</u>	<u>Japan</u>	<u>15/July/1999</u>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
(番号)	(国名)	(出願年月日)	
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(Number)	(Country)	(Day/Month/Year Filed)	
(番号)	(国名)	(出願年月日)	

私は、第35編米国法典119条(e)項に基づいて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below.

<u>                    </u>	<u>                    </u>	<u>                    </u>	<u>                    </u>
(Application No.)	(Filing Date)	(Application No.)	(Filing Date)
(出願番号)	(出願日)	(出願番号)	(出願日)

私は下記の米国法典第35編120条に基づいて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約365条(c)に基づく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第35編112条第1項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第37編1章56項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application:

<u>PCT/JP00/04639</u>	<u>July 12, 2000</u>	<u>Pending</u>
(Application No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)
(出願番号)	(出願日)	(現況: 特許許可済、係属中、放棄済)
 <u>                    </u>	 <u>                    </u>	 <u>                    </u>
(Application No.)	(Filing Date)	(Status: Patented, Pending, Abandoned)
(出願番号)	(出願日)	(現況: 特許許可済、係属中、放棄済)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



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(日本語宣言書)

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